

IAPO Rec'd PCT/PTO 30 MAR 2006

Docket No.: 066742-0040

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yeaman, Michael R., et al.
Appl. No. : 10/524,952
Filed : February 18, 2005
Title : MULTIFUNCTIONAL CONTEXT-
ACTIVATED PROTIDES AND
METHODS OF USE

Customer No.: 41552
Confirmation No.: 1470

CERTIFICATE OF MAILING BY EXPRESS MAIL(37 CFR § 1.10)

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addressed to Commissioner for Patents, P.O. Box 1450, Alexandria,
VA 22313-1450 on March 30, 2006.


Lorraine Smith

Grp./A.U. : 1654
Examiner: : Unassigned

REQUEST FOR CORRECTION OF FILING RECEIPT

Mail Stop US/RO
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant requests the issuance of a corrected filing receipt for the above-referenced mark. Enclosed is a copy of the original filing receipt. A Review of the Filing Receipt mailed February 3, 2006 has located the following errors:

Under the section "Assignment for Published Patent Application" only one inventor's name is listed, but a review of the Assignment indicates that it is executed by all four inventors. Please correct this section to read as follows:

Assignment for Published Patent Application

--Michael R. Yeaman

Nanette Y. Yount

John E. Edwards, Jr.

Eric P. Brass--

Under the section "Domestic Priority data as claimed by applicant", it should read as follows:

Domestic Priority data as claimed by applicant

--This application is a 371 of PCT/US03/026405 01/29/2003--

The Transmittal Letter filed with this application shows that the International Application No. is listed correctly (copy enclosed).

A copy of the Filing Receipt is enclosed indicated the requested corrections.

Applicant authorizes the Commissioner to charge any fees associated with this request to Deposit Account No. 502624.

Any questions may be directed to the undersigned.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

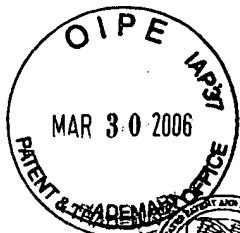


Astrid R. Spain

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Date: March 30, 2006

**Please recognize our Customer No. 41552
as our correspondence address.**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,952	01/05/2006	1654	2785	066742-0040	13	80	7

CONFIRMATION NO. 1470

McDermott Will & Emery
Suite 700
4370 La Jolla Village Drive
San Diego, CA 92122

FILING RECEIPT



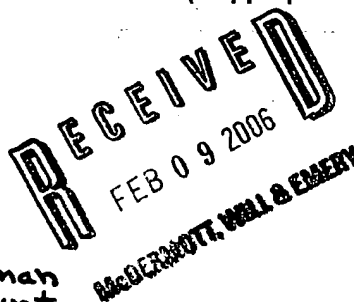
OC000000017976498

Date Mailed: 02/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael R. Yeaman, Redondo, CA;
Nanette Y. Yount, San Juan Capistrano, CA;
John E. Edwards Jr., Palos Verdes, CA;
Eric P. Brass, Palos Verde, CA;



Assignment For Published Patent Application

~~MICHAEL R YEAMAN~~

Michael R. Yeaman
Nanette Y. Yount
John E. Edwards, Jr.
Eric P. Brass

Power of Attorney:

Deborah Cadena-44048

Domestic Priority data as claimed by applicant 026405

This application is a 371 of PCT/US03/02609 01/29/2003 *

(*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

UNITED STATES OF AMERICA 10225562 08/20/2002

Projected Publication Date: 05/11/2006

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ******Title**

Multifunctional context-activated protides and methods of use

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

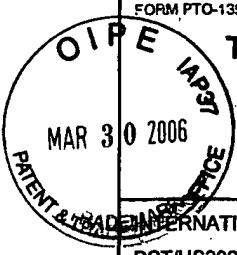
For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

USP Dec'd PCT/PTO 30 MAR 2006



FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

066742-0040

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO

PCT/US2003/026405

INTERNATIONAL FILING DATE

20 August 2003

PRIORITY DATE CLAIMED

20 August 2002

TITLE OF INVENTION

MULTIFUNCTIONAL CONTEXT-ACTIVATED PROTIDES AND METHODS OF USE

APPLICANTS FOR DO/EO/US

YEAMAN, Michael R., YOUNT, Nanette Y., EDWARDS, John E., Jr., BRASS, Eric P.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821(f) and (g).
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information.
Form PCT/IB/308 as mailed on 04 March 2004 (04.03.04), Paper copy of Sequence Listing pages 1 thru 2, Return Postcard.

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Sarah Nunez

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Document No.: 066742-0040

PATENT

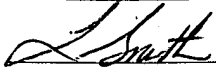
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Lorraine Smith

Grp./A.U. : 1654
Examiner: : Unassigned

Mail Stop US/RO
Commissioner for Patents
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Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is/are the following document in connection with the above-identified application.

- ☒ No additional fee is required.
☐ Applicant is entitled to small entity status under 37 CFR 1.27
☒ Attached: Request for Correction of Filing Receipt

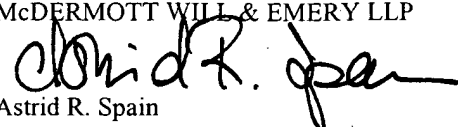
The fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	0	0	0	\$25.00 =	\$0.00
Independent Claims	0	0	0	\$100.00 =	\$0.00
Multiple dependent claims newly presented					\$0.00
Fee for extension of time					\$0.00
Total of Above Calculations					\$0.00

- ☐ Please charge my Deposit Account No. 502624 in the amount of \$0.00. An additional copy of this transmittal sheet is submitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 502624, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP


Astrid R. Spain
Registration No. 47,956

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San Diego, CA 92122
Phone: 858.535.9001 ARS:CMM
Facsimile: 858.597.1585
Date: March 30, 2006

Please recognize our Customer No. 41552 as our correspondence address.

SDO 43030-1.066742.0040